Perception of phonetic detail in the identification of highly reduced words

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 A B S T R A C T

 There is great phonetic variation of words in context, conditioned by phonetic environment, word type, and speaking style in different communicative situations. Function words and modal particles are particularly susceptible to having their phonetic weight and complexity reduced, especially in casual spontaneous speech. But even if whole strings of segments are no longer delimitable in reduced forms compared with fuller pronunciations of the same lexical items, there will still be articulatory prosodies, superimposed upon the remaining sound material, which retain essential components of the fuller phonetic essence that characterizes the whole form class of a word. The extreme reduction [ai] of the German modal particle eigentlich ‘actually’ [ar(y)y(t)[l][i][c]] is a case in point. The length, palatality and nasality of its gliding movement reflect the polysyllabicity, the central nasal consonant and the final palatal syllable of the fuller forms. It is assumed that this phonetic essence triggers lexical identification in the listener. Therefore two perceptual identification experiments were carried out. They showed the crucial role of the duration of a palatal gliding section in the diphthong [ai] to distinguish between einige ‘one__’ and eigentlich ‘actually a__’. A third test showed further that listeners reacted differently to the palatal glide duration in different reduction environments, which may be related to different functional assessment of reduced forms in situational contexts.

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 1. Introduction

 1.1. The analysis of reduced speech

 Words take on various phonetic manifestations in connected speech, depending on word type, phonetic context, and speaking style, especially function words and modal particles. This is more extreme in spontaneous dialog. The traditional way of dealing with this phonetic variation is to group the variants around canonical forms, which represent the most elaborate citation form pronunciations, often guided by phonological transformation from orthographic form. This canonical representation is generally segmental phonemic, and the variants are derived from it by deletion, addition or modification of phonemic segments. If the changes, especially the modifications, cannot be mapped one-to-one onto phonemic representations, allomorphic segmental statements are made at the phonetic level, e.g. when in handbag the realization of /ndb/ is neither [ndb] nor [mb] but [nmb] with coronal–labial double articulation, or when the assimilated fricative in this [ʃʃ] shop is different from the geminate fricative in fish [ʃʃ] shop. This segmental representation of words in relation to phonemic canonical forms is a useful sorting principle for pronunciation dictionaries, such as the reference works by Jones/Roach (1997) and Wells (1990) for English, and WDA—Wörterbuch der deutschen Aussprache (1969) for German.

 The canonical-phonemic reference approach to phonetic variation of lexical entries is also a useful heuristic device for systematic descriptions of the pronunciation of a language, such as Gimson/Crutenden (1962, 2008) for English, or Kohler (1995) for German, as well as for descriptive models of speech reduction, e.g. Kohler (1990, 1998, 2001) for German. Finally, the segmentation and labeling of acoustic speech corpora has greatly profited from this framework. However, it runs into conceptual problems when the distinctive features of vowels and consonants as well as their assimilation or elision are no longer linearly segmentable (cf. Gow, 2002; Heid & Hawkins, 2000; Local, 2003; Nolan, 1992), and when phoneme strings, which may extend beyond syllables to whole words, need to be marked as deleted qua segmental units although the signal portion is still recognized as containing the full lexical information in the utterance context.

 As regards the latter case, the following example of highly reduced speech was found in the Kiel Corpus of Spontaneous Speech (IPDS, 1995, 1996): ich kann Ihnen das ja mal sagen ‘I can
mention this to you’ (g072a015). Fig. 1 provides the speech wave, the spectrogram, as well as a linear segmentation and SAMPA labeling. There is a long stretch of an alveolar nasal (180 ms) in [ka___as] (cf. dotted box in Fig. 1), i.e., from the end of the word kann into the word das, in which there is initial nasalization instead of a lenis plosive. It is not possible to delimit the word Ihnen, whose citation form phonemic transcription is /ɪnən/. Its usual pronunciation is without [a] in the second syllable, but as is obvious from Fig. 1, the utterance shows no delimitable signal portion for a vowel [i] either. However, the person who did the orthographic transliteration, and subsequently the labeler as well as the phoneticians who processed the data, had absolutely no doubt that the utterance contained Ihnen, and was not ich kann das ja mal sagen, without Ihnen but also with a long [n] in [ka___as], an equally possible utterance in the same semantic context of an appointment-making scenario. Looking more closely at the phonetic manifestation of the nasal stretch reveals that it has palatalization throughout, increasing towards the center. Kohler (1999) referred to such articulatory residues in the reduction of function words as articulatory prosodies, “which persist as non-linear, suprasegmental features of syllables, reflecting, e.g., nasality or labiality that is no longer tied to specific segmental units” (p. 89). Thus, articulatory prosodies are distinctive suprasegmental vocal-tract and phonation features that identify words in context in spite of segmental reduction. In the above example, the articulatory prosody of palatalization, in addition to the long duration of the signal portion of the alveolar nasal, which it overlays, references Ihnen.

Of course, what happens here from an articulatory point of view is that the tongue body articulation for the high front vowel [i] in between the open central vowels of [ka___as] is not elided but is carried out while the tongue tip/blade forms contact with the alveolar ridge, resulting in palatalization rather than in an acoustic [i: ] segment as defined by phoneticians. From this perspective, the weakly reduced form [i:n] and the more strongly reduced form [n̪n̪] can be related to the same class (i.e. Ihnen) without an elaborate derivation from one canonical representation, because they both contain palatality and long alveolar nasality, as do other intermediate degrees of reduction. This means that all phonetic forms of this word must contain these features; they constitute the phonetic essence of Ihnen. This concept of phonetic essence may be assumed to apply to function words generally and possibly even to all lexical items. The phonetic essence of a lexical item manifests itself either in segmental units in the less reduced forms or as articulatory prosodies in more extreme reduction, where it appears to be sufficient for the listener to identify the word. Thus, [kan'vlas], as against [kan'las] kann das can be decoded as containing Ihnen although there is no syntactic/semantic bias in the same linguistic and situational context.

Contrary to the conventions guiding vowel and consonant segmentation, such articulatory prosodies are not temporally delimited; all that matters is that they manifest themselves within a certain environment, where exactly can vary greatly. The extension may actually be quite large, as in the present case where palatality reaches beyond the nasal consonant in both directions: the aspirated plosive [kʰ] of the preceding word kann is fronted, and its vowel as well as the one of the adjacent word das are raised and centralized. In kann leider of another utterance by the same speaker, where kann is also followed by an alveolar consonant and in turn by an open vowel element, this is not the case.

Glottalization is another articulatory prosody in German, used to signal interruption of modal voice in nasal context in place of a stop consonant. Thus, könnt ‘could’ may be differentiated from können ‘can’ ([kœnn], either as [kœntn] or [kœn]). Both creating the same kind of ‘break’ in the acoustic signal for the listener. In the case of [t], the break is referable to a segmentally delimited stop occlusion inside a stretch of alveolar nasality; in the case of [n], it is superimposed on the nasal stretch and variable as to its extension and position. As long as a few irregular glottal pulses occur somewhere in the signal portion of [enn] the listener decodes it as the lexical unit könnt. cf. Kohler (1999). The same articulatory prosody of glottalization can differentiate German campen ‘to camp’ [kʰɛm] from kamen ‘to come’ [kʰɛm] and sollen ‘should’ [ɔn] from sollen ‘are to’ [ɔn]. For example, in the contexts Zum campen/kamen ist es noch zu früh ‘It is still too early to camp/come’ and Sie sollen/sollen das machen ‘They should/are to do this’. Again, glottalization produces a signal break, as does the stop articulation of [t] and [p]. This break is part of the phonetic essence of such words as könnt, campen and sollen, in distinctive contrast to können, kamen and sollen.

A third type of articulatory prosody in German is nasalization. Strongly reduced forms of soll er and sollen wir in the frame ___ das machen? ‘Is he / are we to do this?’ may be contrasted as [zœ: n] versus [zœ:m]. In sollen wir, the bilabial occlusion may not be reached but lowering of the velum still occurs, thus nasalization is superimposed on the vocalic resonances—[zœ:n], replacing a segmentally delimitable nasal consonant by an articulatory prosody with the same function of signaling the phonetic essence of nasality to a listener, which is absent from soll er, cf. Kohler (1999). In other languages like English and French, observations which are interpretable in terms of articulatory prosodies concern, for example, long-term resonances of light and dark liquids (cf. Heid & Hawkins, 2000) or quality, f0 and duration changes of consonants in the context of schwa elision (cf. Gadet, 1992).

The segmentation and labeling conventions that were developed for the phonetic annotation of the Kiel Corpus took articulatory prosodies for lexical identification into account. If phonemic segments of a canonical base form of a lexical item cannot be mapped onto a signal portion corresponding to it they have to be marked as deleted, but if at the same time there is still an articulatory prosody that carries lexical identification it needs to be marked as a non-segmental insertion –[v] (≈ prosodic marker) put before the segment string marked as deleted. So, Ihnen das in Fig. 1 is transcribed as

<##-MA SQ-Si- -Sn S̩- n-+ ##%d-n Sa S̩+ >

(for further details of the transcription system and conventions, cf. Kohler, Pätzold, & Simpson, 1995). Search operations on corpora annotated in this way can access the incidence of

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articulatory prosodies, and the general prosodic marker < -MA > , which only references their occurrence, without specifying their phonetic manifestations, can then be given a detailed phonetic analysis. Thus the Kiel Corpus annotation continues to use a canonical-phonemic framework as a heuristic device and enriches it by articulatory prosodies to deal more satisfactorily with the systematic distinctive phonetic representation of lexical items across their contextual and situational variability. But the addition of this symbolization of non-segmental articulatory attributes is still part of a wider heuristics. It does not provide a language model, which can only follow from the subsequent analysis of data preprocessed, and thus made accessible, in this way. The imperfections of any manual phonetic labeling system of acoustic data, with regard to reliability, remain. This approach incorporates ideas of Firthian prosodic analysis (Firth, 1948) in the phonetic description of a language and proposes the concept of complementary phonology (Kohler, 1994).

1.2. Lexical access and phonemic restoration

A number of studies on the recognition of reduced word forms have assumed that the mental lexicon contains representations only for the canonical forms of lexical items and that lexical access of reduced forms involves a process of reconstructing the canonical form (cf. Gaskell and Marslen-Wilson, 1996, 2001, Gow (2002), and Mitterer and Blomert (2003) for discussions of this issue). Initially such studies focussed on the lower end of speech reduction. For example, a word-final /n/ that is assimilated towards [m] in utterances like gun production can differ in its spectral details from an actual [m] as in gum production (Gow (2002); cf. also Holst and Nolan (1996) for sibilant assimilation). Even if the assimilation of the sound itself is complete and the /n/ in the above example becomes indistinguishable from [m], the assimilated sound can still leave traces in the preceding vowel. Such subtle phonetic detail allows listeners to identify the assimilated or deleted sound and hence to differentiate between utterance pairs like gun production with /n/→[m] and gum production (Gow (2002); cf. also Manuel (1992), Nolan (1992), and Niebuhr, Meunier, and Lancia (2009) for similar observations in other assimilatory processes). This ability or readiness of listeners is conditioned by the context of the surrounding segments, which trigger the processes of assimilations or deletions, and the detailed phonetic exponents are then treated as mediating the reconstruction process (cf. Gaskell & Marslen-Wilson, 1996, 2001; Mitterer & Blomert, 2003; Mitterer & Ernestus, 2006; Mitterer, Yoneyama, & Ernestus, 2008; Snoeren, Hallé, & Segui, 2006).

At higher levels of reduction, particularly in everyday spontaneous communication, as illustrated for German in Section 1.1, entire strings of segments may be “missing”. Another well investigated example is the Dutch word eigenlijk ‘actually’ [eiɡələk], which can be realized as [eɡ]. The perception experiments of Ernestus, Baayen, and Schreuder (2002) and Kemps, Ernestus, Schreuder, and Baayen (2004) showed that at this higher level of reduction signal-external factors were more relevant for word identification than the directly surrounding phonetic context (cf. also Gaskell & Marslen-Wilson, 2001). Kemps et al. (2004) carried out experiments on Dutch words ending in the suffix -lijk. A non-reduced realization with [l], and a reduced one without, of each selected word were excerpted from a corpus of spontaneous speech and presented either with a full context or minimally as the suffix, by itself or also including preceding sound portions. Participants had to perform a phoneme-monitoring task on [l]. In a significant number of instances, listeners reported the presence of [l] in the full context, although it was not in the signal they heard. This rarely happened in the minimal context. The authors interpret this finding as showing that “Full context […] provides listeners not only with all phonetic cues but also with syntactic and semantic information.” (Ernestus et al., 2002, p. 169), and that “listeners restore phonemes that are missing in reduced word forms” (Kemps et al., 2004, p. 120). The segmental and semantic prerequisites of this approach argue against the possibility that an articulatory prosody representing a phonetic essence can play a crucial role in word identification. Rather, they suggest a perceptual process in which the phonetic input is traced back to the richer and more abstract representation of the canonical form, and which thus tries to recover a (highly) reduced word on the basis of its remaining segments with support from the syntactic and semantic context.

Therefore, the goal of our study is to provide an answer as to whether subjects can perceive highly reduced word forms for lexical identification, in the same syntactic and semantic frame, when these forms contrast in the presence or absence of articulatory prosodies, as defined in Section 1.1.

1.3. The phonetics of German eigenlich

The perception experiments to be reported in this paper take their point of departure from the German modal particle eigenlich, which in its broad array and extreme degree of reduction is parallel to the Dutch particle eigenlijk, cf. Ernestus (2000). The stimuli of the perception experiments build on production data that came from a database search of the Kiel Corpus of Spontaneous Speech and were reported in Kohler (2001). The phonetic variation of eigenlich may be summarized under three headings:

- the first 2 syllables are commonly [aiŋ]
- the last syllable, [il] has a closer vowel than, e.g., the female suffix -in, and is characterized by an articulatory prosody of palatality throughout
- the juncture between these word portions may be [t] or assimilated [k], or it may be absent.

In these sections of eigenlich further reductions occur. The form [aiŋ] may be reduced to [ai], a case of nasalization of lenis plosives before nasals, found in all German words ending in unstressed -gen. In [il], the transition from an alveolar lateral to a high front vowel involves a complete reversal from front contact and side back opening to front opening and side back contact, which is frequently resolved by giving up the lateral articulation. Furthermore, in the unstressed position airflow may be reduced to such an extent that the fricative noise no longer surfaces. These articulatory conditions result in the most reduced form [ai] found in corpus.

As explained for [z3m] > [z36i] in Section 1.1, [aiŋ] may be further reduced to [ai]. It is bisyllabic or contains a long gliding to a high front vowel position. Additionally, it is characterized by nasalization across this gliding portion. This extremely reduced form thus retains the palatality and the nasality as well as a duration feature of the fuller forms. The three components palatality, nasality, and duration constitute the phonetic essence of the class of reduced forms of German eigenlich, and they are still present as articulatory prosodies in the most reduced one.

These phonetic descriptions have been complemented by a more detailed acoustic analysis of the 56 eigenlich tokens that were uttered by 10 female and 9 male speakers in the Kiel Corpus. The vocalic portion, inside the initial diphthong, from the onset of the upward F2 movement to its end was set in relation to the total word duration. This analysis showed that the more the word is reduced the more the palatality that characterizes the final syllable is transferred into the gliding section in the initial diphthong, which
also represents a palatal gesture. First, there is a trend (Pearson, \( r = -0.29, n = 54, p < 0.1 \)) for shorter *eigentlich* productions to have higher second-formant frequencies at the end of the glide. A higher second-formant frequency indicates a closer approximation of the palatal region by the tongue body, i.e. the end of the glide becomes more \([i]\)-like. More importantly, however, the enhancement of palatality for greater degrees of reduction showed up in a temporal reorganization of the diphthong in favor of a lengthening of the gliding section. As is illustrated in Fig. 2, there was a highly significant negative correlation (Pearson, \( r = -0.48, n = 54, p < 0.001 \)) between the overall word duration and the proportion of the gliding section in the diphthong.

1.4. Devising a perception experiment

With reference to the possible reduction [aii], two utterances may be constructed:

- *eine rote* ‘a single red one’, *eine* realized as unreduced *[ana]*, with contextual nasalization in the diphthong, lack of reduction signals accentuation;
- *eigentlich* ‘ne rote* ‘a red one, really’, ‘ne* *[na]* being a reduced form of *eine* and *eigentlich* realized as [aii]

According to Section 1.3 the two utterances differ in the duration of the palatal gliding section, and the height of its endpoint, which, given sufficient duration, would be less crucial. They correspond in the nasalization of the gliding section, which is contextual in *eine* but an essential articulatory residue in reduced *eigentlich*. So, a perception experiment can now set out to investigate the influence on word identification of degrees of palatality in gliding movements from an open vowel. The rationale for such an experiment is to start from a natural production of *eine rote*, as defined above, and to modify the durations of the open vowel portion and the palatal gliding section independently. The hypothesis is that the presence of long palatality will trigger *eigentlich* ‘ne judgements, with a critical duration value for the change-over from *eine*; lengthening the open vowel, on the other hand, will always result in *eine*, with varying degrees of accentual prominence.

2. Perception experiments

2.1. General

The hypothesis underlying the perception experiments is that perception reflects the production of the extreme reduction form [aii] of the lexical item *eigentlich*, i.e. a long duration of a palatal gliding section, on which nasalization is superimposed. The acoustic analysis of the corpus data has shown that the duration of this portion increases by at least 60 ms from fuller forms to strongly reduced forms of *eigentlich* (cf. Section 1.3). This value is therefore considered perceptually critical, and the change-over in perception from *eine* to *eigentlich* ‘ne is expected to occur at this order of magnitude in the palatal gliding section. This expectation is further supported by the fact that 60 ms should be well above the just noticeable difference for duration changes in speech stimuli, cf. Lehiste (1970) and Klatt and Cooper (1975).

Two perception experiments were run aiming at direct and indirect identification, respectively, of *eine rote* or *eigentlich* ‘ne rote*. Their purpose was to determine whether and to what extent an articulatory prosody of long palatality, as a component of the phonetic essence of reduced word forms of *eigentlich*, affects perception and lexical identification. In an addition to the indirect identification experiment, the same test stimuli were presented in the same verbal context, which was, however, pronounced with a different degree of phonetic reduction. This design is to test whether listeners become aware of degrees of reduction and then react differently to test stimuli because of a different functional assessment in different reduction environments. The test stimuli for all the experiments were taken from the same generated series.

The experiments exclude semantics as a separate factor. Of course, lexical decoding is embedded in a general semantic frame, but it does not favor one or the other interpretation of the target stimuli.

2.2. Test stimulus generation

The stimulus generation was done in three steps. First, the short utterance *eine rote* was produced naturally by a trained phonetician, the first author (ON), in a moderately reduced fashion. The two disyllabic words were realized as comparably salient with an overall roughly flat f0 course. That is, the two words were stressed, but not accented on the initial syllables (cf. Ladd, 1996). The natural production of *eine rote* was the starting point for two stimulus series that were created in the second step using the PSOLA resynthesis of Praat, cf. Boersma (2001). As shown in Fig. 3, the stimuli of the two series resulted from duration manipulations in 100 ms sections that covered either \([ni]\) or \([a]\) of *eine*. In one series, the duration of the \([a]\) section retained its original 100 ms duration, while the \([ni]\) section was lengthened linearly in 6 equal-sized steps of 20 ms (i.e. 120, 140, 160 ms, etc.). A stimulus was resynthesized for...
each step. In the other series, a parallel lengthening was done for the [a] section, while the [in] section was kept constant. Again, a stimulus was resynthesized after each lengthening. Hence, the two stimulus series consist of 7 stimuli each. In both series stimulus 1 is the naturally produced eine rote with the original durations of the [in] and [a] sections, whereas in stimulus 7 the respective section is 120 ms (or 120%) longer than in the original production (i.e. 220 ms). Indicating the lengthened section, the two stimulus series will be referred to as the EINEin+ and EINEa+ series.

In the third step, a further round of PSOLA resyntheses replaced, in all 2 × 7 = 14 stimuli, the flat f0 course with a phonologically constant intonation pattern that consisted of two rising-falling pitch-accent peaks on the syllables ei- and ro-, the latter ending in a terminal, low f0 level at the stimulus offset. The first pitch peak on ei- had a range of 6 semitones. The second pitch peak on ro- was downstepped and hence 1 semitone lower than the first peak. The f0 rises and falls in between the onsets, maxima, and offsets of the peaks were linearly interpolated. The frequency values of the onsets, maxima, and offsets remained constant across all stimuli. However the temporal positions of the peak maxima were adjusted to the duration manipulations, i.e. they were placed at a fixed distance of 60 ms after the vowel onset of the corresponding syllable. The pitch accent on eine allows its interpretation as a numeral 'a single', instead of as an indefinite article.

2.3. Experiment 1: Direct identification test

2.3.1. Method

2.3.1.1. Stimuli. Experiment 1 is based on a subset of the generated stimuli, including stimulus 1, i.e. the naturally produced eine rote with the original duration structure, as well as the two stimuli 7 of the EINEin+ and EINEa+ series, which show the greatest lengthening of the [in] and [a] sections.

2.3.1.2. Procedure and participants. The three stimuli were integrated into a larger dictation task, in order to make it impossible for the listeners to uncover the aim and the target wordings of the listening test. For this purpose, 10 short conversational texts were created. Three of them provided the framework for the stimulus subset. That is, each text contained one of the stimuli as a separate syntactically elliptic sentence in the second turn of the conversation. The semantic content of each of the three texts was basically compatible with the interpretation of the stimuli both as eine rote and as eigentlich 'ne rote. Text (A) deals with a conversation during a poker game. In texts (B) and (C) the stimuli occurred in the contexts of a political election or a wine tasting. The three experimental texts are given in the Appendix. In addition to these three experimental texts, 7 distraction texts were included in the dictation task. They had a similar make-up as the three experimental texts with regard to the overall length and the internal structure, i.e. an introductory sentence was followed by a single two-turn dialog. All 10 conversational texts were read in an equally casual fashion by the first author (ON) and recorded digitally in a sound-treated booth at the Institute of Phonetics and Digital Speech Processing (IPDS) of the University of Kiel. In reading the three experimental texts, the stimulus slot was filled with a reduced variant of eigentlich 'ne rote. In the subsequent post-processing, these filler variants were replaced by each of the three stimuli. This complete cross-combination yielded 3 × 3 = 9 versions of the experimental texts.

The experiment was done with a group of 45 undergraduates as part of a course on Spontaneous Speech at the Department of General and Comparative Linguistics of the University of Kiel. The 45 students were all native speakers of German with no known hearing disorders. They were divided into three subgroups of 15 subjects, with gender and age approximately balanced across the subgroups. Two of the groups consisted of 10 female and 5 male subjects with average ages of 23.4 or 24.1 years. The remaining group consisted of 9 female and 6 male subjects. They were on average 23.7 years old.

Each of the three subgroups did the experiment in a separate session. Each session contained the same 7 distraction texts and different versions of the three experimental texts. That is, in the three sessions the three stimuli were framed by different texts. In this way, 15 responses were collected across the 9 combinations of experimental texts and stimuli, although each subject heard each experimental text and each stimulus just once. Moreover, the 7 distraction texts and the three experimental texts were arranged in an overall differently randomized order for each session.

At the beginning of each session, the subjects were informed orally that they were to do 10 short dictations of casually read conversational texts as part of a class dealing with the relationship.
between phrasing, turn yielding, and punctuation. This pretext was plausible in a course on Spontaneous Speech, and the reference to punctuation further distracted the subjects from the actual aim of the experiment, i.e. the wording of the stimuli. The subjects were then asked to write down what they heard, using appropriate punctuation. Each of the 10 short dictations in a session was done in the same way. First, the conversational text was played as a whole. Then, each of the three elements of the text, i.e. the introductory sentence, the first turn, and the second turn, were played separately and repeated several (mostly three) times, until all subjects finished writing down what they heard. Then, the next dictation started. At the end of the session, the written texts were collected for subsequent analysis with regard to the wordings of the stimuli.

The conversational texts or text elements were played by means of Adobe Audition (http://www.adobe.com/products/audition/) with a constant loudness, and presented to the listeners over loudspeaker in a quiet lecture room at the University of Kiel. The separate dictation sessions with the three subgroups took about 45–60 min each.

2.3.2. Results and discussion

The analysis of the written dictations showed that all 45 listeners of the three subgroups transiterated the test stimuli in the written texts as either eine röte or eigentlich 'ne röte. The specific absolute and relative frequencies of eigentlich occurrences within and across the subgroups (white columns vs. gray column) are summarized in Table 1. It is immediately obvious that stimulus 7 of the EINEin+, series was almost exclusively transiterated as eigentlich 'ne röte. Just one of the 3 × 15 listeners transiterated the stimulus as eine röte. Hence, the overall eigentlich identification triggered by stimulus 7 of the EINEin+ series amounts to 97.8%. By contrast, less than 25% of the 3 × 15 listeners identified and transcribed stimulus 7 of the EINEin+ series as eigentlich 'ne röte. The common starting point of the EINEin+ and EINEin- series, i.e. stimulus 1 with the original duration structure, evoked the word eigentlich in only 5 of the 3 × 15 transcriptions or in 11.1% of 45 cases.

The results were analyzed, parallel to the structure of Table 1, in a 3 × 3 contingency table by two three-level factors, viz. stimulus (cf. rows in Table 1) and experimental text (cf. columns in Table 1). Since the listeners of Experiment 1 produced single transcriptions (i.e. categorical, nominal decisions) for each stimulus, non-parametrical \( \chi^2 \) tests were done in order to test whether the eigentlich frequencies were significantly differently distributed across the levels of the two factors. We did not calculate individual \( \chi^2 \) tests for the three text conditions with transilation (eigentlich vs. eine) as a separate two-level factor. Since every listener wrote either eigentlich or eine for each stimulus, the combined eigentlich and eine frequencies of each cell in Table 1 sum up to 15. The eigentlich and eine frequencies per cell can be transformed into each other, so it is sufficient to base the \( \chi^2 \) tests just on the eigentlich frequencies.

As was expected from the descriptive statistics, the variable stimulus had a highly significant effect on the cell frequencies (\( \chi^2 = 15.05; df = 4; p < 0.01 \)). However, even though there are indications in Table 1 that eigentlich was identified and transliterated less frequently in the poker text than in the wine text, the variable ‘experimental text’ had no separate significant effect (\( \chi^2 = 1.08; df = 4; p > 0.05 \)). This outcome accords with experimental texts that are semantically compatible with both the eine röte and the eigentlich 'ne röte readings of the stimuli.

2.4. Experiment 2: Indirect identification test

2.4.1. Preliminaries

The results of Experiment 1 show that listeners can confidently identify test stimuli as either eine röte or eigentlich 'ne röte on the basis of the make-up of an [a]-to-[i] movement, in situational semantic contexts that allow either interpretation. This shows that listeners can detect articulatory prosodies in highly reduced speech and relate them to the phonetic essence of a word. It needs to be determined now what the critical duration values of the [a]-to-[i] movement are for a change-over from eine röte to eigentlich 'ne röte judgements to occur. For this purpose the design of an indirect identification test is used, which puts the test stimuli in a constant context, and subjects are asked whether context and test stimulus match semantically.

So far, indirect identification tests have primarily been used to determine intonational categories and their abstract meanings (cf. Kleber, 2006; Kohler, 1987; Nash & Mulac, 1980; Niebuhr, 2007). An advantage of this method is that it does not require judging stimuli explicitly with regard to specific [meta]linguistic labels, such as the wording of the utterance or particular sounds or phonemes. Instead, the listeners make indirect statements about such labels by judging whether the stimuli do or do not match with a constant preceding context utterance. In this way, perceptual effects become observable that are difficult to ascertain by simple [meta]linguistic labels, and listeners are not alerted to these labels or to the aim of the experiment.

In the present case, the match is to be one of word semantics. The context utterance is the w-question wieviele willst Du? ‘how many do you want?’. It was realized by a female Standard German speaker in a casual way with an intonation contour consisting of prenuclear and nuclear pitch accents that rise across the syllables wie- and willst. The prenuclear and nuclear pitch accents are concatenated by a f0 fall, and the nuclear accent leads over to a high rise until the end of the question utterance. It is obvious that a semantically sensible and hence matching answer to the question wieviele willst Du? can only be the utterance eine röte ‘a single red one’, in which the accented eine represents a numeral; eigentlich ‘ne röte ‘a red one, really’ does not convey numerical information and therefore does not match the question precursor. Consequently, by judging the question – stimulus pairs as matching or not matching the listeners provide indirect information about the wording they identified in the stimuli. Admittedly, a non-matching response does not per se imply that the listeners perceived eigentlich ‘ne röte. However, since the EINEin+ stimuli of Experiment 1 was uniquely perceived as eigentlich ‘ne röte and is to occur again as the endpoint of a 7-point duration scale for the palatal gliding section in Experiment 2, there is strong reason to assume that non-matching judgements do not simply exclude eine röte but positively identify the perception of eigentlich ‘ne röte. It is further predicted on the basis of Section 1.4 that transition from matching to not matching occurs for the EINEin+ series, but not for the EINEin- series.
2.4.2. Procedure and participants

Fourteen question – stimulus pairs were created by attaching the 2 × 7 stimuli of the EINEin+ and EINEa+ series of Section 2.2 to the casual w-question wieviele willst Du?. Question and stimuli were separated by 350 ms, which is cross-linguistically a typical silent interval for a non-overlapping turn change, cf. Weihhammer and Rabold (2003). The perception experiment contained 10 copies of the 14 question – stimulus pairs. They were arranged in an overall randomized order and divided into 14 blocks of 10 pairs, following the organization of the answer sheets. Blocks were separated by double beeps, question – stimulus pairs by single beeps. Each question – stimulus pair was followed by a pause of 4 s during which the listeners had to make their judgements.

The listeners were 20 native speakers of German (12 females and 8 males, average age 23.8 years) with no known hearing disorders. They were all undergraduates at the Department of General and Comparative Linguistics of the University of Kiel and naïve with regard to the experimental background. At the beginning of the experiment, the listeners received written instructions that were simultaneously played over loudspeakers and also included examples illustrating the phenomenon of speech reduction. They were informed that they would hear question – answer pairs, and that they were to judge whether the answer did or did not match the preceding question. Decisions were to be made spontaneously by ticking boxes on prepared answer sheets.

After the instructions, the listeners judged a block of 12 question – stimulus pairs, which familiarized them with the procedure and the nature of the stimuli. This practice block consisted of the pairs that contained the stimuli with the greatest lengthening (i.e. stimulus 7), with the middle lengthening (i.e. stimulus 4) and with the original duration (i.e. stimulus 1) from both the EINEin+ and the EINEa+ series. The 3 × 2 = 6 pairs were presented twice in an overall randomized order. The entire experiment took around 35 min and was done in a quiet and sound-treated room at the IPDS in Kiel. The question – stimulus pairs were presented via loudspeakers.

2.4.3. Results and discussion

A total of 200 judgements were obtained for each stimulus. Fig. 4 summarizes the total sums across the stimulus series in terms of percentages of not-matching judgements. The results of Experiment 2 were also analyzed by means of a two-factor repeated-measures ANOVA based on the independent within-subject factors type of lengthened section, i.e. [1n] vs. [a], and degree of lengthening, which corresponded to the stimulus numbers 1–7 in the EINEin+ and EINEa+ series. Higher stimulus numbers represent greater lengthening. The dependent variable is the sums of not-matching judgements that were produced by the 20 individual listeners for each stimulus across all 10 repetitions. Thus, each of the 20 measurements in the conditions created by the two within-subject variables varies between 0 and 10. When a factor in the repeated-measures ANOVA violated the assumption of sphericity, as determined by Mauchly’s test, the Greenhouse–Geisser correction was applied. In reporting the results of that factor, we provide the corrected p level, but the original, uncorrected df’s. All calculations were done in SPSS (Landau and Everitt, 2004).

Fig. 4 shows that the gray curve, which represents the EINEin+ series, is characterized by a rapid transition from matching to not matching across stimuli 1–7. While stimulus 1 evoked less than 10% not-matching judgements, stimuli 5–7 were judged almost 100% as not matching. A lengthening-related increase in not-matching judgements can also be observed for the EINEa+ series. However, the increase stagnates at around 30% for stimuli 5–7, which is just around the not-matching level already reached by stimulus 2 of the EINEin+ series. The fact that both the EINEin+ and the EINEa+ series yielded more not-matching judgements with higher stimulus numbers, but to a different extent, also manifested itself in the output of the ANOVA. It yielded highly significant main effects of both within-subject factors (type of lengthened section: $F_{(1,19)}=1,008.207; \ p<0.001; \ \eta^2_p=0.982$; degree of lengthening: $F_{(6,114)}=155.020; \ p<0.001; \ \eta^2_p=0.891$) as well as a highly significant interaction between them ($F_{(6,114)}=71.903; \ p<0.001; \ \eta^2_p=0.719$). All significances are linked to considerable effect sizes in terms of partial eta-squared ($\eta^2_p$).

On this basis, we split the factor ‘type of lengthened section’ and ran two additional repeated-measures ANOVAs that addressed each of the stimulus series separately. In the ANOVA for the EINEin+ series the degree of lengthening became highly significant ($F_{(6,114)}=331.907; \ p<0.001; \ \eta^2_p=0.993$). The effect size of $\eta^2_p=0.993$ indicates that this factor was able to explain virtually all variance in the not-matching judgements. The ANOVA of the EINEa+ series also showed a significant main effect of the degree of lengthening ($F_{(6,114)}=18.461; \ p<0.001; \ \eta^2_p=0.688$), but the significance level (cf. F statistics) and the effect size were substantially lower than in the case of the EINEin+ series. Furthermore, the ANOVA for each stimulus series included all possible pairwise post hoc comparisons between the 7 levels of the factor ‘degree of lengthening’ (7 × 6 = 42 post hoc tests with Bonferroni corrections integrated into p levels; thus the threshold for the corrected significances remained at $p<0.05$). We restrict the report of these post hoc results to the pairs of adjacent stimuli that represent the progression of lengthening across the EINEin+ and EINEa+ series. As the judgement curves in Fig. 4 either rise or run roughly flat, all other significances can be inferred from the reported ones. As regards the EINEin+ series, the not-matching judgements increased significantly in stimuli 1–2 ($p<0.009$), 2–3 ($p<0.001$), 3–4 ($p<0.033$), and 4–5 ($p<0.001$). The further lengthening of the [1n] section across stimuli 5, 6, and 7 did not cause further significant increases in not-matching judgements. The successive [a] lengthening across the EINEa+ series resulted in only one significant increase in not-matching judgements that occurred in stimuli 4–5 ($p<0.001$).

Overall, the results show that the stimuli produced systematic response patterns. The listeners were not guessing and made their matching–not-matching decisions with regard to a consistent perceptual measure. Seen in relation to the transitorie...
the stimuli in Experiment 1, it is reasonable to assume that this consistent perceptual measure was the eigentlich identification in the stimuli. On this basis, Experiment 2 provides further evidence for the conclusions drawn from Experiment 1. Adding features of the phonetic essence of eigentlich, duration and palatality, to the stimuli by lengthening the [in] section across the EINEa+ series induced a clear reinterpretation of the stimulus wording from eine rote to eigentlich ‘ne rote. Such a reinterpretation did not take place for the [a] lengthening across the EINEa+ series. Although the percentages of not-matching judgements also increased slightly but significantly when the [a] was lengthened by more than 80 ms (in stimuli 5–7), the judgements of the EINEa+ stimuli remained predominantly matching, i.e. eine rote. Compared with this, [in] lengthening of just 20 ms in the EINEa+ series was already sufficient to cause a significant increase in eigentlich identifications, and extending the [in] section by another 40 ms triggered almost exclusively eigentlich identifications.

These conclusions match well with the empirical corpus data. The natural productions of highly reduced eigentlich in the Kiel Corpus of Spontaneous Speech showed a lengthening of the palatal gliding section of more than 60 ms compared with considerably less reduced eigentlich productions (cf. Section 1.3). [in] lengthening of the same magnitude was required in Experiment 2 to create an unequivocal eigentlich cue in the EINEa+ stimuli. That the eigentlich identification was already raised by a 20 ms [in] lengthening shows that listeners can be very sensitive to duration changes in speech. Studies estimating the Just Noticeable Difference (JND) for variation of consonant and vowel durations in utterances also found that changes of 20 ms are detectable (cf. Bochner, Snell, & Mackenzie, 1988; Klatt & Cooper, 1975). Finally, it is worth noting that 60–80 ms roughly corresponds to the average durations of sound segments in spontaneous speech, cf. Crystal and House (1988) and Simpson (1998). Thus, local lengthening of this order of magnitude is likely to have an effect on the number of perceived sound portions. This could explain why strong lengthening increased the not-matching judgements even if it concerned the [a] section. Of course, unlike in the EINEa+ series, it is possible that the increase of not-matching judgements in stimuli 4–5 of the EINEa+ series is not an indicator of eigentlich identifications. However, the direct identification findings of Experiment 1 are in favor of this possibility (cf. Table 1). Therefore, follow-up studies must examine the perceptual effects of strong local lengthening and their interplay with other components of the phonetic essence in the identification of highly reduced words in more detail.

2.5.3. Results and discussion

The results of Experiment 3 were combined with those of Experiment 2 for the statistical analysis by means of a repeated-measures ANOVA in which the two within-subject factors ‘degree of lengthening’ and ‘type of lengthened section’ were complemented by the between-subject factor ‘context pronunciation’ with its two levels informal vs. formal. The three-factor ANOVAs showed highly significant main effects for the degree of lengthening ($F_{(6,228)}=301.449; p<0.001; \eta^2_p=0.888$) and the type of lengthened section ($F_{(1,38)}=991.208; p<0.001; \eta^2_p=0.963$). The context pronunciation also had a highly significant main effect on the not-matching judgements ($F_{(1,38)}=86.552; p<0.001; \eta^2_p=0.695$). Moreover, all pairwise interactions between the three factors were significant (degree $\times$ type of lengthening: $F_{(6,228)}=104.167; p<0.001; \eta^2_p=0.733$; degree of lengthening $\times$ context $F_{(6,228)}=6.014; p<0.001; \eta^2_p=0.137$; type of lengthened $\times$ context $F_{(1,38)}=91.530; p<0.001; \eta^2_p=0.707$). The same was true for the three-way interaction ($F_{(6,228)}=12.959; p<0.001; \eta^2_p=0.254$). Even though the effect size of the three-way interaction was relatively small, we split up the three-factor ANOVA along the type of lengthened section into a pair of two-factor repeated-measures ANOVAs that tested the effects of degree of lengthening and context pronunciation separately for the EINEa+ and EINEa+ series. Our prediction (cf. Section 2.5.2) that the formal question context of Experiment 3 counteracts the lengthening in that it reduces the number of not-matching judgements; therefore we added an a priori repeated contrasts for the two-factor interactions, which we expected to become significant.
As can be seen in Fig. 5, the overall judgement pattern created by the 14 question – stimulus pairs and the 20 listeners of Experiment 3 is similar to the pattern of Experiment 2. The successive lengthening of the palatal [in] section across stimuli 1–7 of the EINEin+ series caused a clear change in the majority of judgements from matching to not matching. Such a change did not occur across the EINEa+ series, in which the [a] section was successively lengthened. As in Experiment 2, the proportion of not-matching judgements for EINEa+ stimuli increased as well across the series, but did not substantially exceed the 30% level. Correspondingly, we found a highly significant main effect of the lengthening of the palatal [in] section (120 ms), yielded around 80% not-matching judgements in Experiment 3. In Experiment 2, a similar not-matching proportion was already reached by stimulus 6–7 of the EINEin+ series. Furthermore, a comparison of Fig. 5 with Fig. 4 shows clearly that the stimuli in Experiment 3 triggered fewer not-matching judgements, particularly in the case of the EINEin+ series. The change from matching to not matching across the EINEin+ stimuli occurred after stimulus 2 in Experiment 2, but after stimulus 4 in Experiment 3. Stimulus 7, which has the most extensive lengthening of the palatal [in] section (120 ms), yielded around 80% not-matching judgements in Experiment 3. In Experiment 2, a similar not-matching proportion was already reached by stimulus 3, in which the [in] section shows only a third of the most extensive lengthening (40 ms). These observations are reflected in the ANOVA of the EINEin+ series in a highly significant interaction between the degree of lengthening and the pronunciation of the question context ($F_{(6,228)}=16.988; p<0.001; \eta^2_p=0.551$). Moreover, the repeated contrasts that compared each degree of lengthening with the previous one across the two context conditions showed that the interaction mainly relates to the more or less rapidly increasing not-matching levels in stimuli 1–2 ($F_{(1,38)}=14.572; p<0.001; \eta^2_p=0.277$), 2–3 ($F_{(1,38)}=4.234; p=0.047; \eta^2_p=0.100$), and 6–7 ($F_{(1,38)}=6.480; p=0.015; \eta^2_p=0.146$). On the other hand, the negligible visual differences that can be found for the EINEa+ series in Figs. 4–5 are not reflected by significances in the corresponding ANOVA either for the interaction between degree of lengthening and context or for any of the repeated contrasts (the repeated contrast for stimuli 4–5 across the two context conditions approximated significance). Overall, the only significant effect that showed up for the ANOVA on the EINEa+ series relates to the main effect of lengthening, which is, in turn, detailed by the post hoc comparisons between its 7 levels. However, unlike in Experiment 2, the only significant increase in not-matching judgements did not occur in stimuli 4–5, but between stimuli 5–6 ($p=0.031$; with Bonferroni correction, cf. Section 2.4.3).

As was argued in the discussion of Experiment 2, the findings of the direct identification in Experiment 1 allow translating the matching/not-matching judgements into eine rote or eigentlich ‘ne rote perceptions. If the same is done for Experiment 3, then the more formal, carefully pronounced question precursor in fact created a perceptual bias towards eine rote. In the EINEa+ series this bias manifested itself in the delay of the significant increase of not matching from stimuli 4–5 in Experiment 2 to 5–6 in Experiment 3. However, the bias especially concerned the EINEin+ stimuli. Looking at the discrepancies in not-matching levels for the stimuli with the same numbers in Experiments 2 and 3, the strongest bias is found for the stimuli in the center of the lengthening continuum (around stimulus 3), which contained more ambiguous cues to eine rote or eigentlich ‘ne rote. The perceptual bias is in line with an expectation-based effect of the speaking-style context. That is, if an utterance has a low reduction level, then listeners are more reluctant to perceive articulatory prosodies in the following utterance as being related to the phonetic essence of a highly reduced word. Of course, this initial indirect evidence of a context effect of speaking style on word identification must be further supported by direct evidence, for example, by using the dictation-task approach of Experiment 1 or a variant of the imitation procedure (cf. Niebuhr, 2009; Pierrehumbert & Steele, 1989). In any event, the existence of a context effect of speaking style requires that listeners are able to perceive and to differentiate degrees of reduction.

This key requirement is supported by the fact that variation in the degree of reduction is involved in a number of communicative functions that are all in different ways interactional. For example, Kirchner (1998) found that phonetic bracketing, i.e. the insertion of short complementary utterances into the surrounding speech, is not only done by lowering and flattening the pitch course, but also by using a higher degree of reduction relative to the surrounding speech. Plug (2005) showed that utterances expressing disagreement with the dialog partner also differ from the speech-reduction level of the dialog partner, typically in the direction of less reduction. Local (2003) noted that the phrase I think is more strongly reduced when it is used in a de-lexicalized way as a marker that hedges the meaning of the preceding utterance(s). According to Hawkins (2003), the reduction of the English utterance I do not know to [jas] not only signals that the speaker has no answer to the question of the dialog partner. It can also convey that s/he is unwilling to cooperate with the dialog partner in finding an answer. Similarly, by reducing the German greeting guten morgen to [gum], the speaker can express her/his unwillingness to start a conversation. In view of such functional exploitations of reduction levels in speech communication, reduction cannot be understood as simply striving for articulatory economy (cf. Simpson, 2001). However, segmental reduction is probably not the only means for conveying the sketched functions. As implied by the example of phonetic bracketing, segmental reduction may have repercussions on intonation, or there could be combinatory restrictions between the degree of reduction and pitch patterns within the prosodic phrase. This is an interesting perspective for new research at the segment-prosody intersection.

3. Conclusions and outlook

The study of phonetic reduction of lexical items has traditionally been carried out in a segmental phonemic frame of canonical forms. This approach mirrors the pivotal role attributed to
phoneme in production and perception studies generally. Local phonetic detail, associated with remaining segments in the reduced input form, may assist in this mediating process. But the framework does not consider non-linear articulatory prosodies as phonetic components in their own right, with variable positions and extensions in a segmental chain, which capture the phonetic essence of the whole form class of a lexical item.

Such articulatory prosodies can be found, and systematically accounted for, in the phonetic manifestations of lexical forms in spontaneous speech. Since production features are mapped onto perception it is to be assumed that these articulatory prosodies play an important role in the identification of words as indices of their phonetic essence. This means that the traditional phoneme-based paradigm in phonetic production and perception analysis needs to be adjusted, and the category of articulatory prosody admitted as a variable of investigation.

This is the theoretical stance of this paper. The experiments were to shed new light on the perception of reduced speech with regard to articulatory prosodies. The major findings can be summarized as follows. Contrary to previous conclusions, it was demonstrated that the identification of highly reduced words which partly or entirely lack a segmental-phonetic representation need not be inferred from the syntactic/semantic context. The words can still be sufficiently coded and hence directly identified by means of articulatory prosodies that preserve major phonetic characteristics of the “missing” segments and hence retain the phonetic essence of that word. Our experiments dealt with two articulatory prosodies of the German word eigentlich, duration and palatality, which were found in previous acoustic analyses. We showed that they represent essential phonetic features for the perceptual identification of the word eigentlich, as they can trigger a reinterpretation of the stimulus utterance, the non-suffixed, more back, i.e., non-palatal palatal glide section of the nasalized diphthong [ai]. Moreover, we provided indirect evidence for the existence of a context effect of the speaking style on word identification. Even though articulatory prosodies can be cues to the identification of highly reduced words, their perception is modulated by the reduction level of the surrounding utterance context. In our case this context was constituted by a preceding question that was produced either highly reduced or in a more careful fashion with a lower reduction level. Our experimental data suggest that the lower reduction level in the question context suppressed the perception of articulatory prosodies as indicators of highly reduced words. Such a speaking-style effect presupposes that listeners can differentiate different degrees of reduction, at least two very dissimilar ones. This is inconsistent with a strict understanding of phonemic restoration that makes “reduced forms difficult to distinguish from their non-reduced counterparts” (Kemps et al., 2004, p. 117). That is, non-segmental phonetic detail of the word must be processed and stored.

In addition to finding more direct evidence for an effect of speaking-style context on word identification, follow-up studies need to explore the notion of phonetic essence further both in production and perception. As regards the former, more detailed studies of articulatory prosodies and their interplay are necessary. In the domain of perception, a follow-up experiment could test whether, in view of the production data of German eigentlich (cf. Section 1.3), identification can also be triggered by increasing the second-formant frequency of the gliding section in the utterance-initial diphthong [ai]. Furthermore, it will be interesting to carry out a perceptual investigation into the phonetic essence in reduced forms of the Dutch equivalent of eigentlich, i.e., eigenlijk. Compared with German eigentlich [a:jigl:nik], Dutch eigenlijk [a:jigl:nik] is characterized by lower and more back, i.e., non-palatal articulations, and it has no nasal element. This is mirrored in highly reduced forms like [egov] vs. German [a:i]. Thus, if the concept of phonetic essence holds, different articulatory prosodies are to be expected to guide lexical identification of extremely reduced forms of eigenlijk by Dutch listeners. In the case of the ihnen example in Section 1.1, an initial perception experiment has just shown that the identification of this word can be triggered just by adding palatality, i.e., without a coinciding increase in duration. So, duration is involved in the differences between the phonetic essence of eigentlich and the one of ihnen. This fact lends further support to our characterization of duration as a separate articulatory prosody.

In general, the investigation of spontaneous speech should be done under the premise that – contrary to its semantic implication – reduction cannot be simply conceptualized as a lowering of the information value of the speech code. This reduction concept rests on the idea that speech communication is based on segmental-phonemic units. Realizations of words in connected speech are then the result of assimilation and elision processes that delete phonological features or segments and thus reduce the cues to word identification. Such a segmental-phonemic perspective misses the information value of phonetic detail of articulatory prosodies in the production and perception of words. So, the ultimate message of this paper is that phonetic research would benefit greatly from a change of its phoneme-based paradigm, leading to a new type of sound abstraction in the modeling of speech that is not limited to segmental units but also includes long articulatory components, over and above pitch, loudness and rate prosodies, as basic elements for production and perception.

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Appendix

The three experimental texts in Experiment 1. The stimulus slots underlined.


Weißwein von eben denke, dann lass uns lieber noch einmal so eine Flasche aufmachen.”

References


